

**Member Name: Mateen Imtiaz**

**Case Reference: 2024-27**

## **CONSENT ORDER**

(pursuant to Reg. 8.2 of the Taxation Disciplinary Scheme regulations 2024)

### **INVESTIGATION COMMITTEE DECISION – 5 December 2024**

Having considered the allegations, the Member's submissions and Indicative Sanctions Guidance, the Investigation Committee determined to make the following order, with the agreement of Mr Mateen Imtiaz, that Mr Mateen Imtiaz shall:

1. Be suspended from membership of the ATT for a period of 3 months;
2. Pay costs to the TDB in the sum of £730.00.

The Investigation Committee ordered publication of the order made against the member with respect to a complaint that:

1. Between 24 October 2019 and 24 February 2024, the Member practised as a tax adviser without registering with the ATT or any other supervisory authority for AML supervision in breach of:
  - a) rule 2.10.1 of the PRPG, which required the Member to comply with the UK's AML legislation, which he failed to do by reason of failing to comply with the requirement in the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 to be supervised by a supervising authority whilst acting as a tax adviser;
  - b) rule 2.10.2 of the PRPG, which required the Member to be registered with the ATT for AML supervision (as he was not supervised by another supervisory authority).
2. The Member failed to complete and submit his Annual Returns to the ATT for the years 2019, 2020 and 2021 and submitted his Annual Return to the ATT for the year 2023 late, in breach of rule 2.8.1 of the PRPG.
3. The Member failed to reply to correspondence from the ATT on 24 August 2023 until 12 December 2023, in breach of rule 2.12.1 of the PRPG.

**06 January 2025**

**The Taxation Disciplinary Board**

**30 Monck Street, London**  
**SW1P 2AP**